

## GUIDANCE NOTE

### Do's

- a. Always deal with market intermediaries registered with SEBI / Registered Exchanges.
- b. Give clear and unambiguous instructions to your broker/agent/depository participant.
- c. Always insist on contract notes for all the transactions from the main broker (BNK SECURITIES PVT. LTD. ) within 24 hours of the trade execution. In case of doubt of the transactions, verify the genuineness of the same on the Exchange website [www.nseindia.com](http://www.nseindia.com), [www.bseindia.com](http://www.bseindia.com), [www.cse-india.com](http://www.cse-india.com) and [www.mcx-sx.com](http://www.mcx-sx.com)
- d. Always settle the dues through the normal banking channels with the market intermediaries.
- e. Always make payment directly to the main broker BNK SECURITIES PVT. LTD.
- f. Always give delivery of shares directly to the main broker BNK SECURITIES PVT. LTD.
- g. Adopt trading/investment strategies commensurate with your Risk bearing capacity as all investments carry risk, the degree of which varies according to the investment strategy adopted.
- h. Always sign a Member-Client Agreement with the Trading Member & SEBI registered sub-broker of the Trading Member of NSE / BSE / CSE / MCX-SX.
- i. Please carry out due-diligence before registering as client with any intermediary. Also, carefully read and understand the contents stated in the Risk Disclosure Document, which forms the part of client registration for dealing through intermediaries in the Stock market.

### Don't's

- a. Don't deal with unregistered brokers / sub-brokers, intermediaries.
- b. Don't leave the custody of your Demat Transaction slip book or bank cheque book in the hands of any Intermediary.
- c. Don't fall prey to promises of guaranteed returns or unrealistic gains.
- d. Don't blindly imitate investment decisions of others who may have profited from their investment decisions.
- e. Don't invest based on market rumors or unconfirmed / unrealistic news.